

Pseudorotation Barriers of Biological Oxyphosphoranes: A Challenge for Simulations of Ribozyme Catalysis

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Abstract: Pseudorotation reactions of biologically relevant oxyphosphoranes were studied by using density functional and continuum solvation methods. A series of 16 pseudorotation reactions involving acyclic and cyclic oxyphosphoranes in neutral and monoanionic (singly deprotonated) forms were studied, in addition to pseudorotation of PF₅. The effect of solvent was treated by using three different solvation models for comparison. The barriers to pseudorotation ranged from 1.5 to 8.1 kcal mol⁻¹ and were influenced systematically by charge state, apicophilicity of ligands, intramolecular hydrogen

bonding, cyclic structure and solvation. Barriers to pseudorotation for monoanionic phosphoranes occur with the anionic oxo ligand as the pivotal atom, and are generally lower than for neutral phosphoranes. The OCH₃ groups were observed to be more apicophilic than OH groups, and hence pseudorotations that involve axial OCH₃/equatorial OH exchange had higher reaction and activation free energy values.

Keywords: density functional calculations · phosphoranes · pseudorotation · ribozymes · RNA

Solvent generally lowered barriers relative to the gas-phase reactions. These results, together with isotope ¹⁸O exchange experiments, support the assertion that dianionic phosphoranes are not sufficiently long-lived to undergo pseudorotation. Comparison of the density functional results with those from several semiempirical quantum models highlight a challenge for new-generation hybrid quantum mechanical/molecular mechanical potentials for non-enzymatic and enzymatic phosphoryl transfer reactions: the reliable modeling of pseudorotation processes.

Introduction

The chemistry of biological phosphate systems is seamlessly linked to life supporting processes such as the regulation of blood pH, energy transfer, cell signaling and recognition,^[1] and RNA catalysis.^[2] Of particular interest are the molecular mechanisms of phosphoryl transfer reactions catalyzed by enzymes and ribozymes.^[3,4] In order to provide insight into these mechanisms, a tremendous amount of experimental and theoretical work has focused on the characterization of key factors that influence the reactivity of biological

phosphates and quantification of the energy barriers associated with transition states and reactive intermediates along the pathways that lead to different products.^[5-8] An understanding of these factors provides insight into biological activity and may assist in the design of new pharmaceutical compounds or biotechnology.

A common mechanism for the transesterification or hydrolysis reactions of biological phosphates involves an in-line nucleophilic attack to a phosphate center that results in the displacement of a leaving group. The intermediates and/or transition states for this type of reaction are pentavalent phosphorane species. According to the rules of Westheimer,^[9] bond formation and cleavage are likely to occur only in the axial position of the phosphorane. The lifetime of the phosphorane intermediate depends upon the nature of the phosphorane (i.e., the ligands around the phosphorus center), its charge (protonation) state, and its interaction with solvent, metal ions and functional groups that occur in a macromolecular (e.g., enzyme) environment. If a phosphorane intermediate is sufficiently long-lived, it may undergo a process of pseudorotation that alters the stereochemistry and/or the distribution of reaction products. Consequently, it is of considerable interest to characterize the barriers

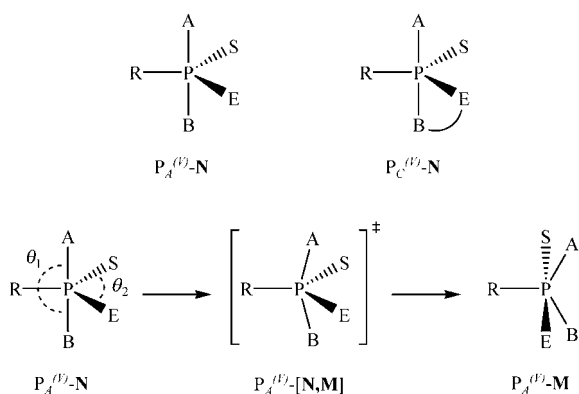
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of pseudorotation for different biologically relevant oxyphosphoranes.

The Berry pseudorotation process^[10] involves an interconversion of a pentacoordinate trigonal bipyramidal species whereby there is an exchange of two ligands in the axial positions with two ligands in the equatorial positions (Scheme 1). The process can be visualized as a conformational re-arrangement that involves two concerted bending motions (a contraction and a widening of the angle between exchanging axial and equatorial ligands, respectively) with one of the equatorial ligands (the “pivotal” atom) held fixed. After a square pyramidal transition state, the system regains bipyramidal structure resulting in an apparent rotation (pseudorotation) of the original trigonal bipyramid structure.



Scheme 1. Abbreviated nomenclature and structural notation for stationary points of pseudorotation reactions involving acyclic and cyclic phosphoranes. The pseudorotation reactants and products are trigonal bipyramidal structures, and the transition states (indicated by brackets) are square pyramidal structures. Acyclic and cyclic phosphoranes are indicated by subscripts “A” and “C”, respectively. The **N** and **M** stand for the alphanumeric index associated with one of the particular trigonal bipyramidal phosphorane listed in Table 1. A transition state that connects two minima is indicated by a set of brackets with both minima separated by a comma.

Theoretical electronic structure methods, and in particular hybrid density functional theory (DFT) methods, have been extensively applied to pentavalent phosphoranes and shown to be considerably reliable. Some recent applications include analysis of anharmonic frequencies^[11] and pseudorotation^[12] of PF_5 , the calculation of phosphorane pK_a values,^[13] and phosphate hydrolysis reactions.^[14] Very recently, hybrid DFT has been applied to the study of biological metaphosphate, phosphate and phosphorane compounds,^[15] including binding of divalent Mg^{2+} ions,^[16] and pseudorotation barriers of chemically modified phosphoranes.^[17]

The present work is focused on the characterization of key factors that influence the rate of pseudorotation of biologically relevant oxyphosphoranes including: 1) protonation state, 2) degree of esterification, 3) acyclic and cyclic ligand structure and 4) solvation. Density functional calculations are performed on a series of 16 pseudorotation reactions of oxyphosphoranes, and also for the pseudorotation of PF_5 as a baseline comparison. The effect of solvation is considered

with three different implicit solvation models. The DFT results are compared with those of several semiempirical Hamiltonian models that are routinely used in hybrid quantum mechanical/molecular mechanical (QM/MM) simulations of phosphoryl transfer reactions in aqueous^[18,19] and enzymatic environments^[14] in order to identify potential problem areas for these methods when modeling biological reactions. The DFT results presented here can be used toward the design of new semiempirical quantum models that more accurately represent the potential energy surface for phosphoryl transfer reactions.

Methods

Density functional calculations: Electronic structure calculations for the biological oxyphosphoranes presented in this work (Table 1) were performed by using Kohn–Sham density functional theory (DFT) with the hybrid exchange functional of Becke^[20,21] and the Lee, Yang, and Parr correlation functional^[22] (B3LYP). Energy minimum and transition state geometry optimizations were performed in redundant internal coordinates with default convergence criteria,^[23] and stability conditions of the restricted closed shell Kohn–Sham determinant for each final structure were verified.^[24,25] Frequency calculations were performed to establish the nature of all stationary points and to allow evaluation of thermodynamic quantities.

The geometry optimization and frequency calculations were performed by using the 6-31++G(d,p) basis set. Electronic energies and other properties of the density were further refined via single point calculations at the optimized geometries by using the 6-311++G(3df,2p) basis set and the B3LYP hybrid density functional. The basis sets used for geometry optimization and frequency calculations in the present work are slightly larger than the corresponding basis sets used in the G2^[26,27] and G3^[28,29] methods, and similar to the basis sets used in the G3X^[30] method that typically yield atomization energies within 1 kcal mol⁻¹ or less of experimental values. Single point calculations were run with convergence criteria on the density matrix tightened to 10⁻⁸ au on the SCF wave function to ensure high precision for properties sensitive to the use of diffuse basis functions.^[31] This protocol applied to obtain the (refined energy)/(geometry and frequencies) is designated by the abbreviated notation B3LYP/6-311++G(3df,2p)//B3LYP/6-31++G(d,p). All density functional calculations were performed with the GAUSSIAN03^[32] suite of programs (with the exception of the SM5 solvation calculations, see below). Thermodynamic properties at 298.15 K were obtained from the density functional calculations using standard statistical mechanical expressions for separable vibrational, rotational and translational contributions within the harmonic oscillator, rigid rotor, ideal gas/particle-in-a-box models in the canonical ensemble,^[33] and have been described in detail elsewhere.^[15] The standard state in the gas phase is for a mole of particles at $T = 298.15$ K and 1 atm pressure.

Table 1. Definition of phosphorane geometry and motion in pentavalent phosphorous pseudorotation reaction.^[a]

| Molecule | Symbol | R | S | E | A | B |
|--|--|----------------------|------------------|--|------------------|------------------|
| fluorinated model system | | | | | | |
| P(F)(F)(F)(F)(F) | P _F ^(V) -1 | F | F | F | F | F |
| acyclic phosphoranones | | | | | | |
| P(OH)(OCH ₃)(OCH ₃)(OCH ₃)(OCH ₃) | P _A ^(V) -1 | OH | OCH ₃ | OCH ₃ | OCH ₃ | OCH ₃ |
| P(O ⁻)(OCH ₃)(OCH ₃)(OCH ₃)(OCH ₃) | P _A ^(V) -1 ⁻ | O⁻ | OCH ₃ | OCH ₃ | OCH ₃ | OCH ₃ |
| P(OH)(OH)(OCH ₃)(OCH ₃)(OCH ₃) | P _A ^(V) -2a | OH | OH | OCH ₃ | OCH ₃ | OCH ₃ |
| P(OH)(OCH ₃)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -2b | OH | OCH ₃ | OCH ₃ | OH | OCH ₃ |
| P(O ⁻)(OH)(OCH ₃)(OCH ₃)(OCH ₃) | P _A ^(V) -2a ⁻ | O⁻ | OH | OCH ₃ | OCH ₃ | OCH ₃ |
| P(O ⁻)(OCH ₃)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -2b ⁻ | O⁻ | OCH ₃ | OCH ₃ | OH | OCH ₃ |
| P(OH)(OH)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -3a | OH | OH | OCH ₃ | OH | OCH ₃ |
| P(OH)(OH)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -3b | OH | OH | OCH ₃ | OH | OCH ₃ |
| P(O ⁻)(OH)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -3a ⁻ | O⁻ | OH | OCH ₃ | OH | OCH ₃ |
| P(O ⁻)(OH)(OCH ₃)(OH)(OCH ₃) | P _A ^(V) -3b ⁻ | O⁻ | OH | OCH ₃ | OH | OCH ₃ |
| P(OH)(OH)(OH)(OH)(CH ₃) | P _A ^(V) -4a | OH | OH | OH | OH | OCH ₃ |
| P(OH)(OH)(OCH ₃)(OH)(OH) | P _A ^(V) -4b | OH | OCH ₃ | OH | OH | OH |
| P(O ⁻)(OH)(OH)(OH)(OCH ₃) | P _A ^(V) -4a ⁻ | O⁻ | OH | OH | OH | OCH ₃ |
| P(O ⁻)(OH)(OCH ₃)(OH)(OH) | P _A ^(V) -4b ⁻ | O⁻ | OCH ₃ | OH | OH | OH |
| P(OH)(OH)(OH)(OH)(OH) | P _A ^(V) -5 | OH | OH | OH | OH | OH |
| P(O ⁻)(OH)(OH)(OH)(OH) | P _A ^(V) -5 ⁻ | O⁻ | OH | OH | OH | OH |
| cyclic phosphoranones | | | | | | |
| P(OH)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -1a | OH | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(OH)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -1b | OH | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(O ⁻)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -1a ⁻ | O⁻ | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(O ⁻)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -1b ⁻ | O⁻ | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(OH)(OH)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -2a | OH | OH | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(OH)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OH) | P _A ^(V) -2b | OH | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OH |
| P(O ⁻)(OH)(-O-CH ₂ CH ₂ -O-)(OCH ₃) | P _A ^(V) -2a ⁻ | O⁻ | OH | -O-CH ₂ CH ₂ -O- | | OCH ₃ |
| P(O ⁻)(OCH ₃)(-O-CH ₂ CH ₂ -O-)(OH) | P _A ^(V) -2b ⁻ | O⁻ | OCH ₃ | -O-CH ₂ CH ₂ -O- | | OH |
| P(OH)(OH)(-O-CH ₂ CH ₂ -O-)(OH) | P _A ^(V) -3 | OH | OH | -O-CH ₂ CH ₂ -O- | | OH |
| P(O ⁻)(OH)(-O-CH ₂ CH ₂ -O-)(OH) | P _A ^(V) -3 ⁻ | O⁻ | OH | -O-CH ₂ CH ₂ -O- | | OH |

[a] The phosphorane ligands are generically labeled "A" and "B" in the axial positions, and "R", "S", and "E" in the equatorial positions (Scheme 1). For pseudorotation reactions, the pivotal ligand is indicated by boldface type. See text for additional discussion of the nomenclature convention adopted in this work.

Solvation models: Solvent effects were taken into account with sequential single point calculations at the gas phase-optimized B3LYP/6-31++G(d,p) geometries as in previous work.^[15,17] Three different solvation models^[34] were considered for comparison: 1) the polarizable continuum model (PCM),^[35–37] 2) a variation of the conductor-like screening model (COSMO)^[38] (employing the parameters provided by Klamt and co-workers^[39]) as implemented in Gaussian03,^[32,40] and 3) the SM5.42R solvation model (SM5)^[41] as implemented in MN-GSM.^[42]

The solvation free energy, ΔG_{sol} , is defined as:

$$\Delta G_{\text{sol}} = G_{\text{aq}} - G_{\text{gas}} \quad (1)$$

where G_{gas} and G_{aq} are the molecular free energies in the gas phase and in aqueous solution, respectively. In the present work the approximation is made that the gas-phase geometry, entropy and thermal corrections to the enthalpy do not change upon solvation. This approximation is consistent with that of recent work on related systems^[15–17] where the protocol was tested against the most relevant available experimental values and found to be reliable for the models considered. The practical reason for introducing this approximation resides in the difficulty and computational cost asso-

ciated with the calculation of stationary points and Hessians with the boundary element solvation methods.

Within these approximations, the solvation energy is given by

$$\Delta G_{\text{sol}} = (E[\Psi_{\text{sol}}] + E_{\text{sol}}[\rho_{\text{sol}}]) - E[\Psi_{\text{gas}}] \quad (2)$$

where $E[\Psi_{\text{gas}}]$ and $E[\Psi_{\text{sol}}]$ are the Kohn–Sham energy functionals that take as arguments the Kohn–Sham single determinant wave function optimized in the gas phase (Ψ_{gas}) and in solution (Ψ_{sol}), and $E_{\text{sol}}[\rho_{\text{sol}}]$ is the solvation energy that takes as argument the polarized electron density in solution ρ_{sol} (which can be derived from Ψ_{sol}).

All PCM and COSMO calculations were performed by using the UAKS radii at the B3LYP/6-311++G(3df,2p)//B3LYP/6-31++G(d,p) level as for the gas-phase calculations.^[43] All SM5.42R calculations were carried out using the

B3LYP functional and the MIDI! basis set^[44] at the optimized gas-phase geometries. The SM5.42R/B3LYP/MIDI! model was parameterized using HF/MIDI! derived geometries; however, the original SM5.42R model does not appear to be overly sensitive to small changes in geometry.^[41] Additional details on the application of this method to related systems is discussed elsewhere.^[15]

Results and Discussion

This section presents results and discussion of density functional calculations on biologically important oxyphosphorane pseudorotation reactions. The first subsection introduces an abbreviated nomenclature convention to facilitate further presentation and discussion. The following subsections are organized into topical areas that involve: geometrical structure, kinetic barriers and reaction thermodynamics, and solvation effects, respectively.

Nomenclature

Scheme 1 illustrates the structure and general symbolic nomenclature for acyclic and cyclic phosphorane minima and pseudorotation transition states. The series of phosphorane

minima with their abbreviated symbolic nomenclature definitions are listed in Table 1. The phosphorane ligands, arranged in order of increasing complexity, are generically labeled “A” and “B” in the axial positions, and “R”, “S”, and “E” in the equatorial positions (Scheme 1). This nomenclature is consistent with that of previous work on biological metaphosphate, phosphate and phosphorane compounds.^[15] A minimum energy phosphorane structure is designated in the general expanded notation as P(R)(S)(E)(A)(B), as shown under the column heading “Molecule” in Table 1. Since cyclic phosphoranones with a five-membered ring must have one end of the ring in the equatorial position and one in the axial position,^[9] the notation can also be made to accommodate this situation as P(R)(S)(-EA-)(B). The nomenclature convention described only leaves unattended the fact that enantiomers may occur. In the present work, enantiomers are not enumerated, and geometric quantities are reported in such a way as to avoid the need for stereochemical distinction.

In order to avoid propagating the rather cumbersome expanded phosphorane notation “P(R)(S)(E)(A)(B)” throughout the manuscript, the following abbreviated symbolic notation is introduced (Table 1):

$$P_{A/C}^{(Rn)} - \# \text{ charge} \quad (3)$$

where P stands for phosphorous, Rn is the Roman numeral that gives the coordination number for the phosphorous (always “V” in the present work, but can vary as in previous work),^[15] A/C stands for “acyclic”/“cyclic”, respectively, and # is an alphanumeric index assigned to each of the trigonal bipyramidal phosphorane minimum structures in Table 1. Phosphoranones with different chemical formulas have different leading integers in their alphanumeric index. If there are two conformers with the same chemical formula, the designations “a” or “b” are appended to the leading integer to form the alphanumeric index in order of increasing gas

phase free energy (“a” has a lower gas phase free energy than “b”). For the sake of clarity structures have been arranged in Table 1 so that the numeric value in # coincides with the number of hydroxy ligands in the neutral molecule. Abbreviations for monoanionic (i.e., singly deprotonated) phosphoranones are based on the neutral phosphorane abbreviation and indicated by a minus superscript.

Pseudorotation reactions and corresponding rate-controlling transition states are designated by bracketing the alphanumeric index of the related minima. In the Berry pseudorotation process^[10] one ligand (the “pivotal” group) remains immobile while the other two pairs of ligands interchange their respective axial and equatorial positions (Scheme 1). For reactions, the pivotal ligand is indicated by boldface type in Table 1. Unless otherwise explicitly stated, all deprotonation occurs at the pivotal atom position in the pseudorotation reaction since a pseudorotation that involves an oxyanion in the axial position is considerably unfavorable. This situation will be revisited as a special case at the end of the Section on Kinetic Barriers and Reaction Thermodynamics.

Structure

Minimum energy structures: The structures of the oxyphosphoranones corresponding to the local minimum energy initial and final states of pseudorotation have predominantly trigonal bipyramidal character (Table 2). The pattern of bond lengths and deviation from ideal trigonal bipyramidal structure (i.e., $\theta_1=180$ and $\theta_2=120^\circ$, Scheme 1), can be traced back to the charge state of the system and/or the cyclic or acyclic character of the substituents. Thus, the following general trends can be derived.

For oxyphosphorane bond lengths:

- axial (P–O_{ax}) bond lengths are *greater* than equatorial (P–O_{eq}) bond lengths
- for monoanionic phosphoranones, axial and equatorial (P–O_{ax} and P–O_{eq}) bond lengths to neutral ligands are *great-*

Table 2. Average geometrical quantities for minimum energy structures of oxyphosphoranones.^[a]

| Quantity | Neutral | | Monoanionic | |
|---|---------------|---------------|---------------|---------------|
| | acyclic | cyclic | acyclic | cyclic |
| bond lengths | | | | |
| P–O _{eq} (H) | 1.638 (0.006) | 1.633 (0.005) | 1.671 (0.004) | 1.662 (0.009) |
| P–O _{ax} (H) | 1.689 (0.006) | 1.681 (0.005) | 1.778 (0.022) | 1.726 (0.028) |
| P–O _{eq} (C) | 1.630 (0.007) | 1.646 (0.016) | 1.684 (0.005) | 1.693 (0.014) |
| P–O _{ax} (C) | 1.710 (0.028) | 1.749 (0.027) | 1.779 (0.022) | 1.827 (0.105) |
| P–O _{eq} [–] | – (–) | – (–) | 1.526 (0.007) | 1.513 (0.005) |
| bond angles | | | | |
| O _{ax} –P–O _{ax} | 176.8 (1.6) | 175.7 (3.0) | 166.6 (3.0) | 164.9 (2.6) |
| O _{ax} –P–O _{eq} | 90.0 (1.8) | 90.0 (2.1) | 86.6 (2.3) | 86.1 (2.9) |
| O _{eq} –P–O _{eq} | 119.9 (3.1) | 119.9 (3.8) | 113.7 (2.5) | 114.4 (2.7) |
| O _{ax} –P–O _{eq} [–] | – (–) | – (–) | 96.4 (1.6) | 97.3 (3.6) |
| O _{eq} –P–O _{eq} [–] | – (–) | – (–) | 123.1 (2.9) | 122.5 (3.4) |

[a] Average bond lengths are in Å, and average bond angles are in degrees. Oxygen atoms bonded to phosphorus are classified into different types distinguished by their ligand positions, indicated as the subscripts ax (axial) and eq (equatorial), their connectivity with other atoms, indicated by parentheses around the atom covalently bonded to oxygen, and in the case of monoanionic phosphoranones, by formal charge O/O[–]. Averages are calculated over neutral and monoanionic acyclic and cyclic phosphoranones, and root-mean-square deviations are given in parentheses.

er than the corresponding bond lengths in the neutral phosphoranes due, in part, to larger repulsions arising from the anionic oxo ligand

- for monoanionic phosphoranes, the anionic $\text{P}-\text{O}_{\text{eq}}^-$ bond length is *shorter* than all other equatorial bond lengths due to partial double bond character that develops to delocalize the formal negative charge
- for a given charge state, axial $\text{P}-\text{O}_{\text{ax}}(\text{C})$ bonds are *longer* than the corresponding $\text{P}-\text{O}_{\text{ax}}(\text{H})$ bonds
- for cyclic phosphoranes, endocyclic $\text{P}-\text{O}_{\text{ax}}(\text{C})$ bond lengths are *greater* than exocyclic $\text{P}-\text{O}_{\text{ax}}(\text{C})$ bond lengths (by about 0.05 Å), in agreement with previous theoretical work^[15] and with the experimental enhanced susceptibility to hydrolysis of cyclic versus acyclic phosphates^[5] (although the role of solvation is important^[45]).
- for acyclic phosphoranes, $\text{P}-\text{O}_{\text{ax}}(\text{H})$ and $\text{P}-\text{O}_{\text{eq}}(\text{H})$ bond lengths are *slightly greater* than the corresponding bond lengths of cyclic phosphoranes.

and for *oxyphosphorane* bond angles:

- for neutral phosphoranes, $\text{O}_{\text{ax}}-\text{P}-\text{O}_{\text{ax}}$, $\text{O}_{\text{ax}}-\text{P}-\text{O}_{\text{eq}}$ and $\text{O}_{\text{eq}}-\text{P}-\text{O}_{\text{eq}}$ bond angles are *close to* the ideal values of 180, 90 and 120°, respectively while the increased repulsion of the pivotal anionic oxo ligand in monoanionic phosphoranes distorts their structures from these values
- for monoanionic phosphoranes, $\text{O}_{\text{ax}}-\text{P}-\text{O}_{\text{ax}}$, $\text{O}_{\text{ax}}-\text{P}-\text{O}_{\text{eq}}$ and $\text{O}_{\text{eq}}-\text{P}-\text{O}_{\text{eq}}$ bond angles are *smaller* than those of corresponding neutral phosphoranes
- for monoanionic phosphoranes, $\text{O}_{\text{eq}}-\text{P}-\text{O}_{\text{eq}}^-$ and $\text{O}_{\text{ax}}-\text{P}-\text{O}_{\text{eq}}^-$ bond angles are *larger* than those of corresponding neutral phosphoranes
- for acyclic and cyclic phosphoranes in the same charge state, corresponding O-P-O bond angles are *very similar*; that is, O-P-O bond angles are largely unaffected by acyclic versus cyclic structure.

Transition-state structures:

Mean structural parameters for the rate-controlling pseudorotation transition states for the oxyphosphoranes are summarized in Table 3. Also included for comparison is a well-studied benchmark model phosphorane, PF_5 . For the purposes of discussion a parameter to measure the square pyramid character of

the transition states has been defined as $\%SP = [1 - |\theta_1 - \theta_2|/60] \times 100$. For the prototypical PF_5 , which exhibits an ideal square pyramidal pseudorotation transition state, the %SP value is then 100% (Scheme 1), this parameter would be 0 for an ideal bipyramid. The %SP parameter correlates well with other parameters, such as bond lengths, used to characterize the transition states. For the neutral systems, the mean axial and equatorial bond lengths are in general more similar to one another for the transition state structures that have greater square pyramidal character; that is, $\langle bl_{\text{ax}} \rangle \approx \langle bl_{\text{eq}} \rangle$ for $\%SP \approx 100$. The largest difference between $\langle bl_{\text{ax}} \rangle$ and $\langle bl_{\text{eq}} \rangle$ for neutral phosphorane transition states is 0.021 Å for $\text{P}_A^{(V)}-[\mathbf{4a,4b}]$ that has the least square pyramidal character ($\%SP = 92.2$).

The monoanionic structures exhibit a greater degree of structural diversity. For two of the monoanionic acyclic molecules, the pseudorotation proceeds through a symmetric, square-pyramidal transition state ($\text{P}_A^{(V)}-[\mathbf{1,1}]$ and $\text{P}_A^{(V)}-[\mathbf{5,5}]^-$), similar to those found for the neutral phosphoranes, with only moderate deviations. These structures display slightly bigger distortions in bond lengths and smaller overall θ_1 and θ_2 angles with %SP values of 100. The differences between these monoanionic phosphorane transition states and those of the corresponding neutral phosphoranes arise from the greater repulsion of the pivotal atom in the former, which holds localized negative charge. The other

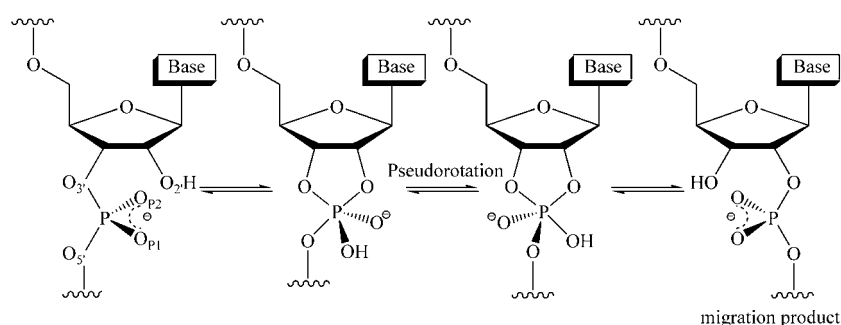
Table 3. Geometrical quantities for pseudorotation transition state structures of oxyphosphoranes.^[a]

| TS Structure | $\langle bl_{\text{ax}} \rangle$ | $\langle bl_{\text{eq}} \rangle$ | $\Delta \langle bl_{\text{ax}} \rangle$ | $\Delta \langle bl_{\text{eq}} \rangle$ | θ_1 | θ_2 | %SP |
|---------------------------------------|----------------------------------|----------------------------------|---|---|------------|------------|-------|
| fluorinated model system | | | | | | | |
| $\text{P}_F^{(V)}-[\mathbf{1,1}]$ | 1.597 | 1.597 | -0.009 | 0.023 | 155.1 | 155.1 | 100.0 |
| neutral acyclic phosphoranes | | | | | | | |
| $\text{P}_F^{(V)}-[\mathbf{1,1}]$ | 1.666 | 1.668 | -0.029 | 0.038 | 155.6 | 155.0 | 99.0 |
| $\text{P}_F^{(V)}-[\mathbf{2a,2b}]$ | 1.676 | 1.664 | -0.026 | 0.030 | 155.0 | 155.3 | 99.6 |
| $\text{P}_F^{(V)}-[\mathbf{3a,3b}]$ | 1.673 | 1.670 | -0.030 | 0.037 | 154.0 | 155.6 | 97.3 |
| $\text{P}_F^{(V)}-[\mathbf{4a,4b}]$ | 1.681 | 1.660 | -0.020 | 0.025 | 156.8 | 152.1 | 92.2 |
| $\text{P}_F^{(V)}-[\mathbf{5,5}]$ | 1.674 | 1.673 | -0.028 | 0.038 | 154.0 | 154.6 | 99.0 |
| anionic acyclic phosphoranes | | | | | | | |
| $\text{P}_F^{(V)}-[\mathbf{1,1}]^-$ | 1.730 | 1.730 | -0.035 | 0.043 | 143.1 | 143.1 | 100.0 |
| $\text{P}_F^{(V)}-[\mathbf{2a,2b}]^-$ | 1.699 | 1.758 | -0.087 | 0.082 | 123.0 | 159.9 | 38.5 |
| $\text{P}_F^{(V)}-[\mathbf{3a,3b}]^-$ | 1.734 | 1.739 | -0.047 | 0.064 | 140.9 | 144.8 | 93.5 |
| $\text{P}_F^{(V)}-[\mathbf{4a,4b}]^-$ | 1.706 | 1.763 | -0.085 | 0.094 | 128.0 | 155.9 | 53.5 |
| $\text{P}_F^{(V)}-[\mathbf{5,5}]^-$ | 1.739 | 1.739 | -0.045 | 0.070 | 142.6 | 142.6 | 100.0 |
| neutral cyclic phosphoranes | | | | | | | |
| $\text{P}_C^{(V)}-[\mathbf{1a,1b}]$ | 1.675 | 1.674 | -0.024 | 0.034 | 155.5 | 155.2 | 99.6 |
| $\text{P}_C^{(V)}-[\mathbf{2a,2b}]$ | 1.682 | 1.668 | -0.019 | 0.027 | 156.7 | 153.4 | 94.5 |
| $\text{P}_C^{(V)}-[\mathbf{3,3}]$ | 1.677 | 1.676 | -0.023 | 0.033 | 154.7 | 155.0 | 99.6 |
| anionic cyclic phosphoranes | | | | | | | |
| $\text{P}_C^{(V)}-[\mathbf{1a,1b}]^-$ | 1.764 | 1.716 | -0.014 | 0.019 | 152.5 | 132.5 | 66.6 |
| $\text{P}_C^{(V)}-[\mathbf{2a,3b}]^-$ | 1.707 | 1.765 | -0.137 | 0.092 | 124.0 | 159.5 | 40.9 |
| $\text{P}_C^{(V)}-[\mathbf{3,3}]^-$ | 1.730 | 1.765 | -0.124 | 0.096 | 145.8 | 139.3 | 89.2 |

[a] Each of the transition state structures listed above uniquely connects two of the minimum energy structures indicated in brackets. The designation of "axial" and "equatorial" have little meaning for the actual transition state structures themselves that have largely a square-pyramidal structure. Nonetheless, it is useful to distinguish those bonds in the transition state that correspond to the axial and equatorial bonds of the reactant, that by convention are defined as the lowest of the two energy minima connected by the transition state. The quantities $\langle bl_{\text{ax}} \rangle$ and $\langle bl_{\text{eq}} \rangle$ refer to the average bond lengths in the transition state that correspond to the axial and equatorial bonds being exchanged, respectively, of the reactant structure. The quantities $\Delta \langle bl_{\text{ax}} \rangle$ and $\Delta \langle bl_{\text{eq}} \rangle$ measure the *change* in the average axial and equatorial bond lengths that occur in going from reactant to transition state. All average bond length quantities are in Å. Also listed are the axial and equatorial O-P-O bond angles (θ_1 and θ_2 , respectively) in degrees and the "square-pyramidal character" percentage (%SP) defined by the empirical formula $\%SP = [1 - |\theta_1 - \theta_2|/60] \times 100$.

monoanionic acyclic pseudorotation reactions proceed through distorted transition states with considerably less square pyramidal character (%SP values ranging between 38.5 and 93.5). For these systems the change in the average bond lengths in the reactants and transition structures is also considerably larger and the differences $\theta_1 - \theta_2$ range from 15 to 30°.

The largest change (contraction) in axial bond lengths is observed in the monoanionic cyclic phosphorane transition states, due in part to the fact these bond lengths are particularly long in the corresponding minima. The longer bond lengths of the cyclic structures are due in part to ring strain, and related to the experimentally observed enhanced reactivity of cyclic phosphates.^[6] Pseudorotation of the monoanionic phosphoranes tend to exhibit early or late transition states that influence the pseudorotation barriers in accord with the Hammond postulate^[46] (see below).



Scheme 2. Role of pseudorotation in the process of RNA phosphate migration.

Kinetic barriers and reaction thermodynamics: The kinetic and thermodynamic characterization of pseudorotation reactions of oxyphosphoranes is important for the understanding of non-enzymatic and enzymatic phosphoryl transfer reactions. If a phosphorane generated in a hydrolysis or transesterification reaction is sufficiently long-lived, it may undergo pseudorotation, altering the stereochemical outcome of the reaction or leading to different products such as those of phosphate migration^[5–7] (Scheme 2). The key kinetic and thermodynamic quantities for the pseudorotation process of the present work are listed in Table 4, where the direction of the reactions is chosen so that the ΔG values are greater than or equal to zero.

Three main factors govern the kinetics and thermodynamics of the gas-phase reactions: 1) the apicophilicity of the substituents, 2) the protonation state of the phosphoranes and 3) the changes in intramolecular hydrogen bonding. The

profiles for acyclic and cyclic, neutral and monoanionic pseudorotation reactions are illustrated as a function of the reaction coordinate $(\theta_1 - \theta_2)/60$ in Figure 1.

From the ΔG values for the endothermic reactions, it is evident that an OH group is considerably less apicophilic than an OCH₃ group,^[15,47] since all the entries with $\Delta H > 0$ and $\Delta G > 0$ involve one or more

Table 4. Calculated thermodynamic data for gas-phase pseudorotation reactions of oxyphosphoranes.^[a]

| Reaction | Net reaction | | | | Rate-limiting TS | | | |
|---|--------------|--------------|------------|------------------------|---------------------|-----------------------|---------------------|---------------------------------|
| | ΔH | $-T\Delta S$ | ΔG | Δn_{HB} | ΔH^\ddagger | $-T\Delta S^\ddagger$ | ΔG^\ddagger | $\Delta n_{\text{HB}}^\ddagger$ |
| fluorinated model system | | | | | | | | |
| $\text{P}_F^{(V)}\text{--}[\mathbf{1.1}]$ | – | – | – | 0 | 3.12 | 0.01 | 3.14 | 0 |
| neutral acyclic phosphoranes | | | | | | | | |
| $\text{P}_A^{(V)}\text{--}[\mathbf{1.1}]$ | – | – | – | 0 | 6.50 | 0.52 | 7.02 | –1 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{2a.2b}]$ | 3.04 | –0.79 | 2.25 | –1 | 7.45 | 0.62 | 8.07 | –1 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{3a.3b}]$ | 0.21 | –0.16 | 0.06 | 0 | 5.54 | 0.73 | 6.27 | 0 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{4a.4b}]$ | 0.46 | –0.02 | 0.45 | 0 | 5.07 | 0.90 | 5.97 | 0 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{5.5}]$ | – | – | – | 0 | 5.24 | 0.91 | 6.24 | 0 |
| monoanionic acyclic phosphoranes | | | | | | | | |
| $\text{P}_A^{(V)}\text{--}[\mathbf{1.1}]^-$ | – | – | – | 0 | 1.12 | 2.24 | 3.35 | 0 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{2a.2b}]^-$ | 5.74 | –0.29 | 5.45 | 0 | 5.85 | 0.86 | 6.71 | –1 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{3a.3b}]^-$ | 3.79 | 0.12 | 3.92 | 0 | 3.54 | 1.39 | 4.93 | 0 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{4a.4b}]^-$ | 4.71 | –0.39 | 4.32 | 0 | 7.54 | 0.19 | 7.73 | –2 |
| $\text{P}_A^{(V)}\text{--}[\mathbf{5.5}]^-$ | – | – | – | 0 | 6.08 | 1.11 | 7.19 | –4 |
| neutral cyclic phosphoranes | | | | | | | | |
| $\text{P}_C^{(V)}\text{--}[\mathbf{1a.1b}]$ | 5.13 | –0.45 | 4.67 | 0 | 6.86 | 0.77 | 7.62 | –1 |
| $\text{P}_C^{(V)}\text{--}[\mathbf{2a.2b}]$ | 4.15 | –0.86 | 3.29 | –1 | 6.82 | 0.62 | 7.23 | –1 |
| $\text{P}_C^{(V)}\text{--}[\mathbf{3.3}]$ | – | – | – | 0 | 4.48 | 0.90 | 5.38 | 0 |
| monoanionic cyclic phosphoranes | | | | | | | | |
| $\text{P}_C^{(V)}\text{--}[\mathbf{1a.1b}]^-$ | –0.05 | 0.14 | 0.08 | 0 | 0.43 | 1.06 | 1.49 | 0 |
| $\text{P}_C^{(V)}\text{--}[\mathbf{2a.2b}]^-$ | 3.88 | 0.28 | 4.16 | 0 | 4.08 | 1.17 | 5.25 | –1 |
| $\text{P}_C^{(V)}\text{--}[\mathbf{3.3}]^-$ | – | – | – | 0 | 1.71 | 1.34 | 3.05 | –1 |

[a] Values for the change free energy (ΔG) in the gas phase are listed along with the enthalpic (ΔH) and entropic ($-T\Delta S$) contributions, and change in the number of intramolecular equatorial↔axial hydrogens bonds (Δn_{HB}). Thermodynamic changes are with respect to reactants, defined as the lower free energy isomer, and correspond to a temperature $T = 298.15$ K. All units are kcalmol^{–1}. The pseudorotation “reaction” is indicated by the abbreviation for the rate-limiting transition state that connects the reactant and product isomers. The change in thermodynamic quantities for the net reaction are shown without superscript, and the corresponding change in thermodynamic quantities for the rate-limiting transition state are given by a superscripted †.

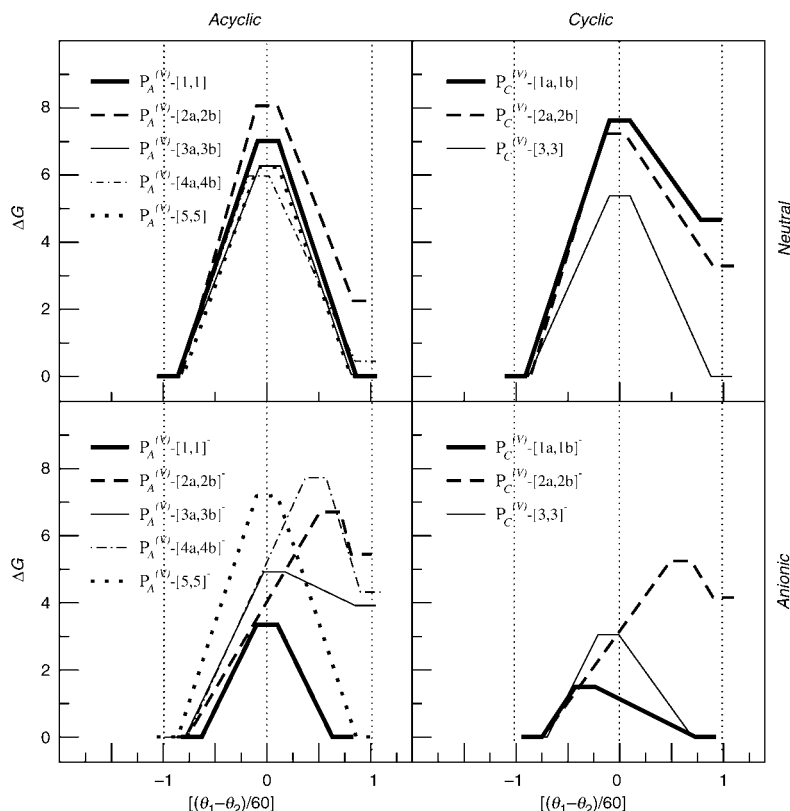


Figure 1. Reaction diagrams for pseudorotation of cyclic and acyclic oxyphosphoranes. Shown are neutral (top)/anionic (bottom) species, and acyclic (left)/cyclic (right) species. The lines are labeled with the convention proposed in Section on “Nomenclature” 3.1 and summarized in Table 1. Dotted vertical lines at reaction coordinate values ($q = (\theta_1 - \theta_2)/60$) of 0 and ± 1 correspond to ideal square pyramidal transition state structures and trigonal bipyramidal reactant and product structures, respectively.

OCH₃ groups in the axial position interchanging with OH in the equatorial position. These results are consistent with other work on biological phosphorus compounds.^[15]

As a result, processes that involve the greater number of exchanges of equatorial OH groups with axial OCH₃ groups generally have higher reaction enthalpies and free energies that serve to raise the kinetic barriers of the forward reaction in accord with the Hammond postulate.^[46] For example, the acyclic/cyclic and neutral/monoanionic pseudorotation reactions ($P_A^{(V)} - [3a,3b]$, $P_A^{(V)} - [3a,3b]^-$, $P_C^{(IV)} - [3,3]$ and $P_C^{(IV)} - [3,3]^-$) that involve no net OH/OCH₃ exchanges all have lower reaction free energies and activation barriers than the corresponding reactions that involve a single net OH/OCH₃ exchange ($P_A^{(V)} - [2a,2b]$, $P_A^{(V)} - [2a,2b]^-$, $P_C^{(IV)} - [2a,2b]$ and $P_C^{(IV)} - [2a,2b]^-$, respectively).

As discussed in the previous Section “Structure”, monoanionic phosphoranes present considerable geometric distortions compared with the more symmetric neutral phosphoranes. Distortion of the monoanionic phosphoranes from a trigonal bipyramidal structure toward that of a transition state-like square pyramid is one of the key factors that result in the general lowering of the monoanionic pseudorotation transition state barriers. The range of activation barriers for the monoanionic phosphoranes (1.5–7.7 kcal mol⁻¹) is considerably larger than for the neutral phosphoranes (5.4–

8.1 kcal mol⁻¹). The lower barriers observed for anionic systems that have the negatively charged pivotal oxygen can be readily explained by the increased axial–equatorial repulsions that arise from the shorter P–O bond length and the delocalized negative charge of this ligand. These repulsions lead to distorted minima (e.g., with axial angles typically bent by around 14°) that are closer both in structure and in energy to the square pyramidal transition states.

For most pseudorotation reactions in the present work, the change in the number of intramolecular hydrogen bonds that occurs in going from the reactant to the product (Δn_{HB}) is 0 and in going from reactant to the transition state ($\Delta n_{\text{HB}}^\ddagger$) is 0 or -1 (Table 4). In general, pseudorotations of neutral phosphoranes where a single hydrogen bond is broken during the reaction ($\Delta n_{\text{HB}}^\ddagger = -1$, corresponding ΔG^\ddagger values range from 7.0–8.1 kcal mol⁻¹) have transition state structures

that are moderately more destabilized than those where no disruption is observed ($\Delta n_{\text{HB}}^\ddagger = 0$, corresponding ΔG^\ddagger values range from 5.4–6.3 kcal mol⁻¹). Hence, an estimate for the destabilization energy due to intramolecular hydrogen bond disruption for neutral phosphorane pseudorotation reaction ranges between 0.7–2.7 kcal mol⁻¹ per hydrogen bond with a mean value of approximately 1.7 kcal mol⁻¹. The anionic phosphorane pseudorotation reactions exhibit a higher degree of variation with respect to changes in intramolecular hydrogen bonding. This large variation stems from the more diverse hydrogen bonding environment in the anionic phosphoranes. Relatively weak intramolecular hydrogen bonds can form between neutral ligands (OH → OH and OH → OCH₃), or stronger intramolecular hydrogen bonds can occur between a neutral and an anionic ligand (OH → O⁻). As mentioned previously, the repulsive interactions of the negative oxo ligand in the anionic phosphoranes also have a large effect on the activation barriers that is only mildly correlated with $\Delta n_{\text{HB}}^\ddagger$. Together, these competing factors tend to obscure the general trends in the activation barriers for the anionic pseudorotation reactions compared with the neutral pseudorotation reactions.

Pseudorotation of an oxyanion to the axial position: In order to quantify the generally accepted assertion that the

pseudorotation process for anionic phosphoranes proceed with the anionic oxo ligand as the pivotal atom, the pseudorotation of $P_A^{(V)}-5^-$ was considered where the oxo ligand is a basal atom in the reactant and occupies an axial position in the product (i.e., one of the equatorial OH ligand was taken as the pivotal atom).

The structure of this transition state exhibits a shorter average equatorial bond length (1.639 Å opposed to 1.739 Å in $P_A^{(V)}-[5,5]^-$) due mainly to the shorter P–O⁻ bond that has moderate double bond character in order to delocalize the negative charge. The angles at the transition state, $\theta_1=163.7$ and $\theta_2=147.0$ lead to a %SP value of 72.2%. The product of this pseudorotation process exhibits a structure with a mean O⁻-P-OH angle of 94.3° and the three equatorial OH ligands forming hydrogen bonds with the axial anionic oxo group (Figure 2).

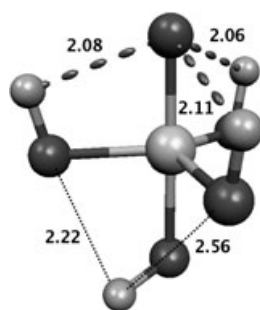


Figure 2. High-energy structure of phosphorane with anionic oxo group in the axial position. Labeled distances are in angstrom.

The reaction free energy and activation barrier for the pseudorotation of the anionic oxo ligand from the equatorial position to the axial position are 9.68 and 11.75 kcalmol⁻¹, respectively. These values are considerably higher than the corresponding values (0.0 and 7.19 kcalmol⁻¹, respectively) for the alternate pseudorotation path that proceeds through the $P_A^{(V)}-[5,5]^-$ transition state. Consequently, it is unlikely that at physiological temperatures the pseudorotation that positions the anionic oxo ligand axially would be kinetically or thermodynamically significant. The transition state and the product of this pseudorotation bear resemblance in both geometric and energetic features, in accord with the Hammond postulate.^[46] This behavior can be readily understood from a simple VSEPR model: anionic substituents have greater repulsion and prefer to occupy equatorial positions to alleviate the strain with other electron pairs at (roughly) 90° associated with an apical position. These results are consistent with previous calculations that indicate that anionic axial phosphorane ligands are considerably less stable than the neutral ones.^[15]

Solvation effects: Solvation provides tremendous stabilization of phosphate and phosphorane anions,^[15] influences the conformational flexibility of phosphate esters^[48] and has a

profound effect on the free energy profile for hydrolysis reactions.^[45,49] Pseudorotation reactions of model phosphate systems, such as methyl ethylene phosphate, connect reaction paths that lead to products of endocyclic and exocyclic cleavage.^[5,6] In fact, it has been proposed that in the hydrolysis of methyl ethylene phosphate under alkaline conditions, the attack of a hydroxyl group is concerted with pseudorotation.^[50] Despite numerous studies on the effect of solvation on phosphate hydrolysis in-line attack mechanisms^[45,51–56] and associative and dissociative pathways,^[49,57–59] considerably less attention has been paid to the more subtle influence of solvation on pseudorotation reactions of biological phosphoranes.^[17] This topic is addressed in the present Section.

Solvation effects were treated by using the PCM,^[35] COSMO^[38] and SM5^[34] solvation models (see Methods). Table 5 compares values for the change in solvation free energy for the net reaction ($\Delta\Delta G_{\text{sol}}$) and for the rate-controlling transition state ($\Delta\Delta G_{\text{sol}}^\ddagger$) calculated with each of the solvation models. The non-zero $\Delta\Delta G_{\text{sol}}$ values are generally in reasonable agreement between the PCM and COSMO methods (these methods are similar in that they are both types of boundary element methods that use a common set of parameterized radii), the largest variation being 0.5 kcalmol⁻¹ for $P_A^{(V)}-[2a,2b]$ and $P_A^{(V)}-[1a,1b]$. The SM5 $\Delta\Delta G_{\text{sol}}$ values differ slightly from the corresponding PCM and COSMO values, the former being generally smaller in magnitude, and in three instances $P_A^{(V)}-[3a,3b]$, $P_A^{(V)}-[4a,4b]$ and $P_A^{(V)}-[1a,1b]^-$ differ in sign.

Overall, the $\Delta\Delta G_{\text{sol}}$ values tend to be negative and fairly small, the most negative values being -2.45, -2.58 and -1.79 kcalmol⁻¹ for PCM, COSMO and SM5, respectively. Phosphoranes with OCH₃/OH occupying the equatorial/axial positions tend to have more favorable solvation energy values relative to the axial/equatorial isomers. For neutral phosphoranes, the $\Delta\Delta G_{\text{sol}}$ values tend to become less favorable with increasing number of OH groups, whereas the opposite trend occurs for the monoanionic phosphoranes. Given that the gas-phase ΔG values are positive or zero (by convention), the negative $\Delta\Delta G_{\text{sol}}$ values increase the equilibrium constant for the reactions in solution relative to that in the gas phase, but (with two exceptions, see below) are not sufficient in magnitude to result in an exergonic reaction.

The solvent stabilization of the transition states are indicated by the $\Delta\Delta G_{\text{sol}}^\ddagger$ values in Table 5. Overall, the correspondence between the PCM, COSMO and SM5 values for $\Delta\Delta G_{\text{sol}}$ is closer than for the $\Delta\Delta G_{\text{sol}}^\ddagger$ values (due in part to the fact that the geometric changes are smaller in going from reactant to transition state as opposed to going from reactants to products). As expected, the reference system ($P_F^{(V)}-1$) is not strongly affected by the presence of solvent, the estimated magnitude of the $\Delta\Delta G_{\text{sol}}^\ddagger$ values all falling below 0.1 kcalmol⁻¹. As with the values for $\Delta\Delta G_{\text{sol}}$ in Table 5, the $\Delta\Delta G_{\text{sol}}^\ddagger$ values are predominantly negative, and lower the free energy of activation for pseudorotation in solution relative to the gas phase. With the exception of $P_A^{(V)}-[1,1]^-$ and $P_A^{(V)}-[1a,1b]^-$ that have positive $\Delta\Delta G_{\text{sol}}^\ddagger$

Table 5. Calculated change in solvation free energy for pseudorotation reactions of oxyphosphoranes.^[a]

| Reaction | $\Delta\Delta G_{\text{sol}}^{\ddagger}$ -Net reaction | | | $\Delta\Delta G_{\text{sol}}^{\ddagger}$ -Rate-limiting TS | | |
|---|--|-------|-------|--|-------|-------|
| | PCM | COSMO | SM5 | PCM | COSMO | SM5 |
| fluorinated model system | | | | | | |
| $P_{\text{F}}^{(\text{V})}$ -[1,1] | - | - | - | -0.03 | -0.04 | 0.08 |
| neutral acyclic phosphoranes | | | | | | |
| $P_{\text{A}}^{(\text{V})}$ -[1,1] | - | - | - | -1.01 | -0.78 | -0.71 |
| $P_{\text{A}}^{(\text{V})}$ -[2a,2b] | -1.24 | -0.74 | -0.07 | -0.87 | -1.01 | -0.50 |
| $P_{\text{A}}^{(\text{V})}$ -[3a,3b] | -0.57 | -0.23 | 0.29 | -0.50 | -0.48 | -0.25 |
| $P_{\text{A}}^{(\text{V})}$ -[4a,4b] | 0.73 | 1.14 | -0.07 | -0.16 | 0.31 | -0.52 |
| $P_{\text{A}}^{(\text{V})}$ -[5,5] | - | - | - | -0.62 | -0.47 | -0.39 |
| monoanionic acyclic phosphoranes | | | | | | |
| $P_{\text{A}}^{(\text{V})}$ -[1,1] ⁻ | - | - | - | 0.64 | 0.51 | 0.05 |
| $P_{\text{A}}^{(\text{V})}$ -[2a,2b] ⁻ | -1.48 | -1.24 | -0.91 | -2.36 | -2.28 | -1.44 |
| $P_{\text{A}}^{(\text{V})}$ -[3a,3b] ⁻ | -2.25 | -2.29 | -0.91 | -1.05 | -1.19 | -0.80 |
| $P_{\text{A}}^{(\text{V})}$ -[4a,4b] ⁻ | -0.97 | -0.97 | -0.81 | -3.82 | -3.84 | -2.07 |
| $P_{\text{A}}^{(\text{V})}$ -[5,5] ⁻ | - | - | - | -3.75 | -3.66 | -1.54 |
| neutral cyclic phosphoranes | | | | | | |
| $P_{\text{C}}^{(\text{V})}$ -[1a,1b] | -2.08 | -2.58 | -1.31 | -1.62 | -1.51 | -0.94 |
| $P_{\text{C}}^{(\text{V})}$ -[2a,2b] | -1.11 | -1.23 | -0.69 | -0.96 | -0.83 | -0.91 |
| $P_{\text{C}}^{(\text{V})}$ -[3,3] | - | - | - | -0.35 | -0.26 | -0.66 |
| monoanionic cyclic phosphoranes | | | | | | |
| $P_{\text{C}}^{(\text{V})}$ -[1a,1a] ⁻ | -0.52 | -0.40 | 0.15 | 0.74 | 0.68 | 0.19 |
| $P_{\text{C}}^{(\text{V})}$ -[2a,2a] ⁻ | -2.45 | -2.16 | -1.79 | -2.61 | -2.50 | -2.25 |
| $P_{\text{C}}^{(\text{V})}$ -[3,3] ⁻ | - | - | - | -1.45 | -1.41 | -1.52 |

[a] Values for the change in solvation free energy ($\Delta\Delta G_{\text{sol}}$) are listed for three different solvation methods: PCM, COSMO and SM5 (see text). All values correspond to a temperature $T=298.15$ K. All units are kcal mol⁻¹. The pseudorotation "reaction" is indicated by the abbreviation for the rate-limiting transition state that connects the reactant and product isomers. The change in solvation free energy for the net reaction are shown without superscript, and the corresponding change in solvation free energy for the rate-limiting transition state are given by a superscripted ‡.

values, the solvent stabilization of the transition states relative to the reactants is generally larger for the monoanionic phosphoranes. The monoanionic phosphoranes for which $\Delta\Delta G_{\text{sol}}^{\ddagger}$ is the least favorable involve transition states where the intramolecular hydrogen bonding arrangement is preserved (i.e., $\Delta n_{\text{HB}}^{\ddagger}=0$: $P_{\text{A}}^{(\text{V})}$ -[1,1]⁻, $P_{\text{C}}^{(\text{V})}$ -[1a,1b]⁻ and $P_{\text{A}}^{(\text{V})}$ -[3a,3b]⁻).

The calculated total reaction and activation free energy values are listed in Table 6. Only in the case of the PCM and COSMO calculations for $P_{\text{A}}^{(\text{V})}$ -[3a,3b]⁻ and $P_{\text{C}}^{(\text{V})}$ -[1a,1b]⁻ does the inclusion of solvent change the sign of the net reaction free energy. The activation barriers in solution are considerably lower than in the gas phase. For the most part, the general conclusions regarding the trends in activation barriers observed in the gas phase are maintained. Of particular significance are the observations that:

- pseudorotation barriers for oxyphosphoranes in solution are *fairly* low, ranging from about 1.5–7.5 kcal mol⁻¹
- pseudorotation barriers for monoanionic phosphoranes are *lower* than for neutral phosphoranes
- pseudorotation barriers for cyclic phosphoranes are *slightly lower* than for corresponding acyclic phosphoranes.

As an example, for the PCM solvation model, the activation barriers for neutral acyclic and cyclic oxyphosphoranes

range from 5.53–7.20 and 5.03–6.27 kcal mol⁻¹, respectively, and for monoanionic acyclic and cyclic oxyphosphoranes range from 3.44–4.35 and 1.60–2.64 kcal mol⁻¹, respectively. Similar trends are evident from the COSMO and SM5 solvation models, although the ranges of activation barriers are somewhat larger for the SM5 solvation model.

An important consequence of this work involves the interpretation of kinetic data for the hydrolysis of phosphates in solution that serve as model systems to characterize the factors that govern biological phosphate reactivity. For example, the hydrolysis of methyl ethylene phosphate (MEP), first discussed by Westheimer,^[9] is described schematically as involving fast pseudorotation under acidic conditions where the phosphorane intermediate is neutral, and slow pseudorotation under mildly alkaline conditions where the phosphorane

is monoanionic.^[5] The present calculations suggest that pseudorotation of the phosphorane intermediate in the hydrolysis of MEP (Figure 3) is not a result of the barriers to pseudorotation being increased for the monoanionic cyclic oxyphosphorane relative to the neutral one. Instead, it is more likely that pseudorotation occurs more readily under acidic conditions due to a prolonged lifetime of the neutral phosphorane intermediate.

Pseudorotation in ethylene phosphate can be measured experimentally by the uptake of ¹⁸O from isotopically labeled water molecules^[5] (Scheme 3). In order for ¹⁸O to be incorporated, an isotopically labeled water makes an in-line nucleophilic attack to the phosphate to form an oxyphosphorane intermediate. The reaction can either proceed to the endocyclic cleavage product, or revert back to the reactants via exocyclic cleavage of the nucleophile–phosphate bond. Alternately, if the lifetime of the phosphorane intermediate is sufficiently long for pseudorotation to occur, subsequent exocyclic cleavage results in ¹⁸O incorporation to form isotopically labeled ethylene phosphate. It has been observed experimentally that incorporation of ¹⁸O in ethylene phosphate occurs only under non-alkaline conditions.^[5] The results of the present work suggest that pseudorotation of the monoanionic phosphorane is not rate-limiting since the barrier for this process is only around 1.6 kcal mol⁻¹ in solution (Table 6). This supports the hypothesis^[5] that the observed ¹⁸O exchange is due to the fact that, under suffi-

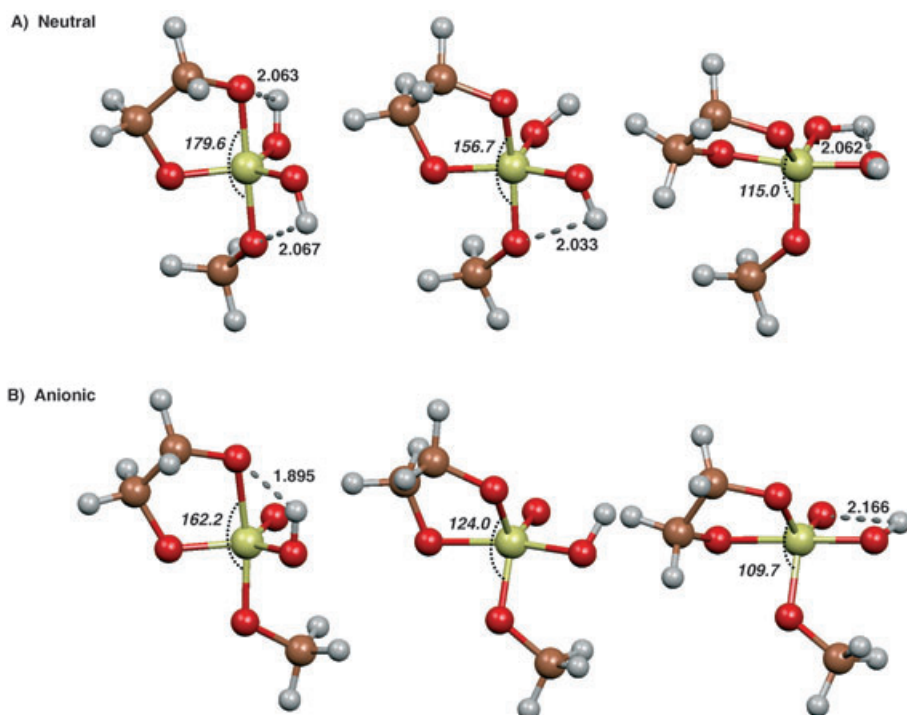
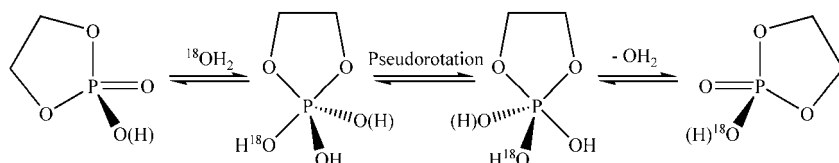


Figure 3. Pseudorotation diagram of methyl ethylene phosphorane, an intermediate in the hydrolysis of methyl ethylene phosphate: A) neutral state under acidic conditions; B) monoanionic state under neutral conditions; labeled distances are in angstrom and angles are in degrees.

ciently alkaline conditions, the dianionic phosphorane is a transition state, or else a kinetically insignificant intermediate,^[6] that is not sufficiently long lived to undergo protonation by solvent and subsequent pseudorotation. The results presented here are consistent with calculations on pseudorotation of chemically modified cyclic biological phosphoranes.^[17]



Scheme 3. Incorporation of ^{18}O in ethylene phosphate under non-alkaline conditions via a mechanism that involves pseudorotation.

Semiempirical calculations: The resolution of atomic-level details and quantitative assessment of reaction barriers for catalytic RNA processes remains a challenge for theoretical methods. Simulations of reactions in non-enzymatic and enzymatic environments by using hybrid QM/MM calculations^[60–62] afford a particularly promising strategy for inclusion of the complex characteristics of condensed-phase environment and sampling of the many degrees of freedom. These methods, however, require very fast quantum methods in order to be feasible. The most commonly applied class of quantum methods in QM/MM calculations are self-

consistent semiempirical Hamiltonian models. These methods have been recently applied to study transphosphorylation thio effects of a 3' ribose-phosphate model system in solution.^[18,19]

Conventional semiempirical Hamiltonian models are limited in their accuracy in simulations^[61,62] and linear-scaling calculations^[63,64] of enzyme or ribozyme reactions. Due to the importance of these applications, the field continues to work toward improvement of these models,^[65–67] especially for thermochemistry and kinetics. It has been well established that two major problem areas involve the development of methods that can accurately predict barriers to reactions that involve chemical bond formation and cleavage, and that accurately reproduce correct relative conformational energies and barriers. The former problem can be partially alleviated with careful re-parameteri-

zation of the models so as to include data for reaction barriers.^[67] A more satisfactory solution to both of these problems involves re-design of the semiempirical model itself to include features such as “orthogonalization corrections”^[68] and other terms that correct systematic problems.^[65,66] A recent, but related, alternative to these semiempirical models is a self-consistent density functional method^[69] that appears to be very promising and has been successfully applied to some biological reactions.^[70,71]

The data presented in the current work represents an important set of benchmarks for new quantum models designed to model phosphoryl transfer reactions. Pseudorotation of

biological phosphoranes involve a concerted conformational transition of a hypervalent phosphorus that may be further complicated by anionic character and intramolecular hydrogen bonding interactions. These factors combine to make accurate semiempirical modeling of pseudorotation reactions particularly challenging.

Table 7 compares ΔH and ΔH^\ddagger values for pseudorotation processes computed with several established semiempirical Hamiltonians (see Section on “Methods”) with those of the present work. It is evident from Table 7 that all of the semiempirical methods perform poorly for these processes. Dif-

Table 6. Calculated change in aqueous-phase free energy for pseudorotation reactions of oxyphosphoranes.^[a]

| Reaction | $\Delta G_{\text{aq}}^{\ddagger}$ -Net reaction | | | $\Delta G_{\text{aq}}^{\ddagger}$ -Rate-limiting TS | | |
|---|---|-------|------|---|-------|------|
| | PCM | COSMO | SM5 | PCM | COSMO | SM5 |
| fluorinated model system | | | | | | |
| $\text{P}_{\text{F}}^{(\text{V})}-[\mathbf{1,1}]$ | – | – | – | 3.11 | 3.10 | 3.22 |
| neutral acyclic phosphoranes | | | | | | |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{1,1}]$ | – | – | – | 6.01 | 6.24 | 6.31 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{2a,2b}]$ | 1.01 | 1.51 | 2.19 | 7.20 | 7.06 | 7.57 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{3a,3b}]$ | –0.51 | –0.17 | 0.35 | 5.77 | 5.79 | 6.02 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{4a,4b}]$ | 1.18 | 1.59 | 0.38 | 5.81 | 6.28 | 5.45 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{5,5}]$ | – | – | – | 5.53 | 5.68 | 5.76 |
| monoanionic acyclic phosphoranes | | | | | | |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{1,1}]^{-}$ | – | – | – | 3.99 | 3.86 | 3.41 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{2a,2b}]^{-}$ | 3.97 | 4.21 | 4.54 | 4.35 | 4.43 | 5.26 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{3a,3b}]^{-}$ | 1.67 | 1.63 | 3.01 | 3.88 | 3.74 | 4.13 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{4a,4b}]^{-}$ | 3.35 | 3.35 | 3.51 | 3.91 | 3.89 | 5.66 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{5,5}]^{-}$ | – | – | – | 3.44 | 3.53 | 5.65 |
| neutral cyclic phosphoranes | | | | | | |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{1a,1b}]$ | 2.59 | 2.09 | 3.36 | 6.00 | 6.11 | 6.68 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{2a,2b}]$ | 2.18 | 2.06 | 2.59 | 6.27 | 6.40 | 6.32 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{3,3}]$ | – | – | – | 5.03 | 5.12 | 4.72 |
| monoanionic cyclic phosphoranes | | | | | | |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{1a,1b}]^{-}$ | –0.44 | –0.32 | 0.23 | 2.23 | 2.17 | 1.69 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{2a,2b}]^{-}$ | 1.71 | 2.00 | 2.37 | 2.64 | 2.75 | 3.00 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{3,3}]^{-}$ | – | – | – | 1.60 | 1.64 | 1.53 |

[a] Values for the change in aqueous-phase free energy (ΔG_{aq}) are listed for three different solvation methods: PCM, COSMO and SM5 (see text). All values correspond to a temperature $T=298.15$ K. All units are kcal mol^{–1}. The pseudorotation “reaction” is indicated by the abbreviation for the rate-limiting transition state that connects the reactant and product isomers. The change in aqueous-phase free energy for the net reaction are shown without superscript, and the corresponding change in aqueous-phase free energy for the rate-limiting transition state are given by a superscripted ‡.

ferent Hamiltonians offer not only quantitatively different values, but also qualitatively different results: sometimes predicting reaction enthalpies of the wrong sign, barrierless transitions, or else finding minima where there should be a transition state. Generally, the differences between the semiempirical and DFT results exceed 50% of the actual ΔH obtained with DFT calculations. A general trend is that the semiempirical methods underestimate the pseudorotation activation barriers, and also predict five-membered ring structures that are not properly puckered (e.g., are predicted to be too planar). These problems are likely inherent to the NDDO approximation, whereby the overlap matrix is treated as the unit matrix, that affects two-center exchange interactions. These problem might be overcome in new-generation semiempirical models that properly

Table 7. Comparison of calculated density functional and semiempirical gas-phase enthalpies for pseudorotation reactions of oxyphosphoranes.^[a]

| Reaction | ΔH -Net reaction | | | | | ΔH^{\ddagger} -Rate-limiting TS | | | | |
|---|--------------------------|-------|-------|-------|--------|---|------|------|------|--------|
| | DFT | MNDO | AM1 | PM3 | MNDO/d | DFT | MNDO | AM1 | PM3 | MNDO/d |
| fluorinated model system | | | | | | | | | | |
| $\text{P}_{\text{F}}^{(\text{V})}-[\mathbf{1,1}]$ | – | – | – | – | – | 3.12 | 3.81 | 2.77 | 4.10 | 3.57 |
| neutral acyclic phosphoranes | | | | | | | | | | |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{1,1}]$ | – | – | – | – | – | 6.50 | 4.71 | 5.36 | 7.59 | 5.58 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{2a,2b}]$ | 3.04 | –0.63 | –1.33 | –2.05 | 1.07 | 7.45 | 2.79 | 4.74 | – | 5.03 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{3a,3b}]$ | 0.21 | –1.34 | –0.92 | –2.70 | –0.09 | 5.54 | 2.59 | 3.50 | – | 5.12 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{4a,4b}]$ | 0.46 | 1.66 | –0.06 | 1.69 | 2.06 | 5.07 | 4.55 | 1.94 | 2.06 | – |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{5,5}]$ | – | – | – | – | – | 5.24 | 3.25 | 2.58 | 0.67 | 3.92 |
| monoanionic acyclic phosphoranes | | | | | | | | | | |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{1,1}]^{-}$ | – | – | – | – | – | 1.12 | 2.54 | – | – | 3.81 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{2a,2b}]^{-}$ | 5.74 | 5.48 | – | 0.02 | 3.44 | 5.85 | 5.75 | – | – | 4.10 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{3a,3b}]^{-}$ | 3.79 | 1.04 | 1.33 | –0.21 | 0.55 | 3.54 | 3.31 | 1.15 | – | 2.14 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{4a,4b}]^{-}$ | 4.71 | 2.36 | – | –0.81 | 4.47 | 7.54 | 3.18 | 4.29 | – | 4.68 |
| $\text{P}_{\text{A}}^{(\text{V})}-[\mathbf{5,5}]^{-}$ | – | – | – | – | – | 6.08 | 1.16 | 0.08 | – | 1.61 |
| neutral cyclic phosphoranes | | | | | | | | | | |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{1a,1b}]$ | 5.13 | 2.73 | 3.85 | –1.68 | 0.11 | 6.86 | 1.64 | 5.72 | 3.06 | 3.41 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{2a,2b}]$ | 4.15 | 0.47 | 1.65 | –0.02 | 1.08 | 6.82 | 3.03 | 4.34 | 3.00 | 4.77 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{3,3}]$ | – | – | – | – | – | 4.48 | 2.27 | 3.42 | 1.85 | 4.08 |
| monoanionic cyclic phosphoranes | | | | | | | | | | |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{1a,1b}]^{-}$ | –0.05 | 0.00 | 0.00 | 0.00 | 0.06 | 0.43 | 1.26 | – | – | 1.45 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{2a,2b}]^{-}$ | 3.88 | 1.35 | – | – | 3.34 | 4.08 | 1.59 | 3.44 | 4.16 | 3.47 |
| $\text{P}_{\text{C}}^{(\text{V})}-[\mathbf{3,3}]^{-}$ | – | – | – | – | – | 1.71 | 1.19 | – | – | 0.56 |

[a] Values for the change in enthalpy (ΔH) are listed for four different semiempirical methods: MNDO, AM1, PM3 and MNDO/d (see text). All values correspond to a temperature $T=298.15$ K. All units are kcal mol^{–1}. The pseudorotation “reaction” is indicated by the abbreviation for the rate-limiting transition state that connects the reactant and product isomers. The change in enthalpy for the net reaction are shown without superscript, and the corresponding change in enthalpy for the rate-limiting transition state are given by a superscripted ‡.

include this type of exchange effect.^[68,69] The MNDO/d method gives the best overall description of phosphorane structure, with the exception that it does not predict intramolecular hydrogen bonding. For pseudorotation reactions, however, there remains room for considerable improvement.

Conclusion

The present work describes results of hybrid density functional calculations of oxyphosphorane pseudorotations. The results provide quantitative insight into the factors that influence the structure, free energies and activation barriers of these processes, and have implications into related steps in non-enzymatic and enzymatic phosphoryl transfer reactions that involve phosphorane intermediates.

Hydroxy ligands were observed to be less apicophilic than methoxy groups. Pseudorotation reactions for neutral and monoanionic phosphoranes were fairly low, ranging from 1.5 to 8.1 kcal mol⁻¹. Barriers to pseudorotation for monoanionic phosphoranes occur with the anionic oxo ligand as the pivotal atom, and were generally lower than for neutral phosphoranes. The lowering of the monoanionic pseudorotation barriers results from distortion of the phosphorane by repulsions of the pivotal anionic oxo ligand to form a more transition state-like square pyramidal structure.

These results, together with isotope ¹⁸O exchange experiments, support the assertion that dianionic phosphoranes are not sufficiently long-lived to undergo pseudorotation.^[5] Cyclic phosphoranes undergo pseudorotation slightly more readily than do acyclic phosphoranes with the same number of carbon atoms. Solvation generally has a preferential stabilization effect on the transition states that tends to lower the pseudorotation barriers relative to the gas phase values.

Results of conventional semiempirical calculations for pseudorotation processes are shown not to be reliable. These results highlight a new challenge for the new-generation semiempirical quantum models for phosphoryl transfer reactions: the accurate modeling of pseudorotation processes. The results presented here provide insight into the factors that influence the pseudorotation rates of biological phosphoranes and serve as benchmark data for the design of new quantum models for non-enzymatic and enzymatic phosphoryl transfer.

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